TRANSCRIPT OF THE
CITY OF NEW YORK
OFFICE OF ADMINISTRATIVE TRIALS AND HEARINGS
PUBLIC HEARING
ON PROPOSED RULE REGARDING PENALTIES
FOR OFFENSES ADJUDICATED BY
ENVIRONMENTAL CONTROL BOARD

MONDAY, MAY 16, 2016
66 JOHN STREET
10TH FLOOR, CONFERENCE ROOM
BOROUGH OF MANHATTAN
10:33 a.m.

Reported By:
Jennifer Cassella
HEARING CONVENED AT 10:33 a.m.

PRESENT:

Simone Salloum, OATH
Mark H. Leeds, ECB, OATH
Elizabeth Nolan, OATH
Denis Brogan, OATH
Diana Haines, OATH
Amy Slifka, OATH
Helaine Balsam, OATH
Tynia Richard, OATH
Peter Schulman, OATH

Peter M. Mazer, Metropolitan Taxicab Board of Trade
Elana Soleimani, Jack Jaffa & Associates
Dawn Falco, Jack Jaffa & Associates
Gabrielle Klein, Jack Jaffa & Associates
Carol Sigmond, NYCLA
TESTIMONY GIVEN BY THE FOLLOWING INDIVIDUALS:

Peter M. Mazer, Metropolitan Taxicab Board of Trade

Carol Sigmond, NYCLA
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MS. SALLOUM: Good morning. I'm Simone Salloum, Assistant General Counsel of the New York City Office of Administrative Trials and Hearings, OATH. Thank you for attending OATH's hearing this morning.

OATH is conducting this hearing in accordance with the requirements of the City Administrative Procedure Act. It's purpose is to receive comments from you on OATH's two proposed rules that would, one, modify various adjudication procedures at OATH and allow the OATH Hearings Division to adjudicate summonses formerly heard at the Environmental Control Board and the Taxi and Limousine Tribunal; and two, repeal the OATH Environmental Control Board Air Penalty Schedule.

The proposed rules were published in the City Record on April 15, 2016. OATH e-mailed the rules to the Speaker of the Council, each Member of the Council, all Community Board Managers, news media and civic organizations. OATH posted the
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proposed rules on its website and also
e-mailed the rules to New York City Rules
for posting on its website.

You may present an oral statement or
submit written comments concerning the
proposed rules. Please sign in at the
entrance of the room if you wish to present
an oral statement this morning. We have
been accepting written comments on the
proposed rules since its publication in the
City Record and will continue do so through
the end of this hearing.

When you speak, please state your
name and affiliation, and speak slowly and
clearly so that your statement can be
accurately recorded. We also ask that you
limit your statement to three minutes, and
if you could also just let us know which
rule you're commenting on, that would also
be appreciated.

OATH will make available a copy of
all written comments received, together
with the hearing transcript, during normal
business hours at the 10th Floor Conference
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Room, located at 66 John Street, New York, New York on May 26, 2016. OATH will carefully consider all of the comments that it receives today and all written comments received through May 16, 2016. OATH will issue final rules following that consideration. Thank you.

I think first we have Peter Mazer. Feel free to come up.

MR. MAZER: Good morning. My name is Peter Mazer and I am General Counsel to the Metropolitan Taxicab Board of Trade. I represent taxicab drivers and owners before the OATH Hearings Division, both the Taxi Tribunal and ECB, and I also represent respondents at the OATH Trials Division.

I commend OATH for the proposed rules that are the subject of today's hearings and urge their immediate passage. These proposed changes will professionalize the Tribunal and will help to ensure that respondents receive fair hearings.

This morning I would like to address two issues that may be unique to the Taxi
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Tribunal and which I believe require some attention. Certain Taxi and Limousine Commission rule violations carry penalties which include discretionary license suspension or revocation. Such penalties are immediately imposed upon a default. Two that come to mind are suspensions for failure to inspect a taxicab or failure to answer a notice to correct a defect, but there are others that affect both taxicab owners and drivers.

Because the TLC has the ability to immediately prevent a medallion taxicab or street hail livery vehicle but not a black car or a car service vehicle from operating when a suspension is imposed, a suspension upon a default results in the taxicab medallion owner or driver immediately being prevented from working, even if the suspension or revocation is imposed in error. Not a day goes by where a driver or owner is not suspended in error. As for an example, where the TLC and respondent enter into a settlement and yet the OATH Hearing
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Officer defaults the summons. Since OATH Hearing Officers are instructed to impose the maximum permitted penalty, a suspension or revocation is imposed even in cases where the vehicle was inspected, the notice was answered, or the underlying violation has been cured. I do not believe this occurs in context of health or ECB cases. Restaurants are not shut down upon an ECB default, however, taxicabs are put out of service every day.

The solution is simple. A default suspension or revocation can be stayed for a few days so that if it is imposed in error, the matter can be rectified. Most errors are corrected in a day or two, but the driver or owner is typically deprived of his or her livelihood until the error is corrected. This is not acceptable. These are not isolated instances, but daily occurrences, costing the industry millions of dollars annually. I urge OATH to adopt this relatively easy fix of simply staying suspension or revocation default penalties
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for a few days in order that errors can be fixed.

A second point I would like raise concerns consumer complaints. OATH rules, indeed the Administrative Code, permit a complainant at the Taxi Tribunal to appear by telephone or even by affidavit. However, at a recent public TLC Commission meeting, the TLC Chair acknowledged that due process mandates that a complainant be present at the hearing upon the request of the respondent. I strongly recommend that OATH enact changes which reflect the intention of the agency head, that a respondent be permitted to request the complainant be present at the hearing and that a Hearing Officer be permitted to direct such attendance, where the facts and circumstance warrant. While the Administrative Code permits a hearing to go forward by telephone conference or affidavit, the TLC and OATH can agree to follow the direction of the TLC Chair and mandate the appearance of a witness at a
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hearing where the facts and circumstances warrant.

Well, I thank you. If have you any questions, I'd be happy to answer them.

MS. SALLOUM: Thank you.

I think next up we have Dawn Falco.

Do you wish to testify?

MS. FALCO: Actually, at this time I would like to withdraw my request. Do I still have an opportunity to submit anything via writing?

MS. SALLOUM: I think by the end of close of business today.

MS. FALCO: The end of today. Okay.

Thank you so much.

MS. SALLOUM: Elana Soleimani.

MS. SOLEIMENI: I'm going to also waive it and submit something by writing by the end of the day.

MS. SALLOUM: And then Gabrielle Klein.

MS. KLEIN: The same.

MS. SALLOUM: Does anyone else wish to testify?
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(No response.)

(Whereupon, a recess is taken.)

MS. SALLOUM: Ms. Sigmond, are you ready to testify?

MS. SIGMOND: Yes.

MS. SALLOUM: If you could, just state your name and affiliation.

MS. SIGMOND: Good morning. I'm Carol Sigmond. I'm the President of New York County Lawyers Association and I'm here to testify on behalf of the Association. I am also a partner at Cohen, Seglias, Pallas, Greenhall & Furman, 45 Broadway, New York, New York. I'm here today to address OATH on behalf of the Association and our task force on administrative law reform.

NYCLA has been studying these issues since 2006 and we have issued approximately six reports, the most comprehensive being dated December 22, 2009 and available at our website, nycla.org. Our task force Chaired by the Honorable Margaret J. Finerty and Susan B. Lindenauer
Proceedings has focused on preparing reports and making recommendations to improve the administration of justice in what is often called the fourth branch of government, and I thank you for giving me a few minutes of your time.

We support unequivocally, OATH's adoption of the proposed modifications to the various adjudication procedures and to allow the OATH Hearings Division to adjudicate summonses formerly heard at the Environmental Control Board and the Taxi and Limousine Tribunal. These changes reflect the New York County Lawyers Association policies to facilitate and further create meaningful due process and reforms in the law which we believe are in the public interest, and we applaud and support OATH's efforts.

The OATH proposed changes, which include clarifying the powers and duties of hearing officers, a single summons process, homogenized panels and generally uniformed rules to govern hearings at the
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Environmental Control Board, is a big step in the right direction. We note that future improvements, some of which are outside the scope of OATH's rule-making authority, should include the creation of uniformed appeals process, among other things.

In the meantime, our task force will continue to study this area of the law and explore new solutions. We look forward to a continued dialogue with OATH on these issues and welcome engagement with other government agencies and stakeholders essential to this process. Thank you.

   MS. SALLOUM: Thank you,

   Ms. Sigmond.

   MS. SIGMOND: Do you have any questions?

   MS. SALLOUM: No.

   MS. SIGMOND: Thank you.

(Whereupon, a recess is taken.)

   MS. SALLOUM: It's 12:00 p.m., and this concludes the public hearing.

   (Time noted: 12:00 p.m.)
CERTIFICATE

STATE OF NEW YORK )
   ) ss.: 
COUNTY OF RICHMOND )

I, JENNIFER CASSELLA, a Notary Public within and for the State of New York, do hereby certify:

I reported the proceedings in the within-entitled matter, and that the within transcript is a true record of such proceedings to the best of my ability.

I further certify that I am not related to any of the parties to this action by blood or marriage; and that I am in no way interested in the outcome of this matter.

IN WITNESS WHEREOF, I have hereunto set my hand this 17th day of May, 2016.

__________________________________________
JENNIFER CASSELLA
null
Office of Administrative Trials and Hearings - Public Hearing - Proposed Rule
May 16, 2016

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